ABSTRACT: This paper raises a problem for so-called safety-based conceptions of knowledge: It is argued that none of the versions of the safety condition that can be found in the literature succeeds in identifying a necessary condition on knowledge. Furthermore, reason is provided to believe that the argument generalizes at least in the sense that there can be no version of the safety condition that does justice to the considerations motivating a safety condition whilst, at the same time, being requisite for knowledge.

I. INTRODUCTION

The view that has enjoyed a significant degree of attention in recent epistemology is the view that knowledge—or, to be more precise, knowledge of fully contingent propositions—requires safe belief. Safety, here, is a modal condition. Duncan Pritchard has suggested the following rough formulation of the safety condition:

(SP) S’s belief is safe iff in most near-by possible worlds in which S continues to form her belief about the target proposition in the same way as in the actual world the belief continues to be true. (Pritchard 2007, 281)

Pritchard has argued that the safety condition can be motivated by the intuitively very plausible idea that knowledge is non-lucky true belief. The crucial idea here is that the safety condition captures the sense in which knowledge excludes luck and is thus the core condition of any anti-luck epistemology. Alternatively, Ernest Sosa (1999) has motivated the safety condition by its ability to give a better account of inductive and anti-sceptical knowledge than other modal conditions. It is not hard to see that if it turned out that safety is not even necessary for knowledge that would be a major setback for those who try to put safety to use in an anti-luck epistemology and to account for inductive and anti-sceptical
knowledge. In this paper, I will argue that none of the statements of the safety condition that can be found in the literature succeeds in identifying a necessary condition for knowledge. I will also adduce some considerations that suggest that my argument poses a genuine problem for any safety condition on knowledge. To be more precise, I will provide reason to believe that no amended version of the safety condition can succeed in doing proper justice to the motivations for the safety condition just outlined, whilst, at the same time, being a condition necessary for knowledge. In this way, my argument not only challenges defenders of safety to state the safety condition in such a way that it is a genuinely necessary condition for knowledge but also to provide reason for accepting it in the first place. It is my suspicion that defenders of the safety condition cannot meet this challenge.

II. REFINEMENTS OF THE SAFETY PRINCIPLE

It may be argued that if safety is understood along the lines of (SP), it cannot successfully capture the sense in which knowledge excludes luck. To see why this is so, notice that it could do so only if it explains our intuition that we do not know in advance that a given ticket in a fair lottery will not win—no matter how high the odds against winning are. After all, a belief that a given ticket in fair lottery will lose, even if true, is too luckily true to qualify as knowledge. Accordingly, given that safety captures the sense in which knowledge excludes luck, it had better be the case that a belief that a given ticket in a fair lottery won’t win turns out to be unsafe. However, if safety is construed along the lines of (SP), a belief that a given ticket in a fair lottery won’t win will not always turn out to be unsafe. Suppose one believes that some ticket won’t win the lottery on the basis of the fact that the odds against winning are massive. Since the odds against winning are massive, the number of nearby possible worlds at which the ticket wins will be very small. So, at the majority of those nearby possible worlds at which one believes that the ticket will lose on the basis of the probabilistic evidence against winning, one’s belief will be true. So, according to (SP), one’s belief that the ticket will lose is safe. Safety, on this construal, will not explain why we don’t know that a given ticket in a fair lottery won’t win. So, construed along the lines of (SP), safety does not successfully capture the sense in which knowledge excludes luck.3

In view of this problem, Pritchard considers two ways of strengthening the safety principle. Here is the first one:

(SP*) S’s belief is safe iff in nearly all (if not all) near-by possible worlds in which S continues to form her belief about the target proposition in the same way as in the actual world the belief continues to be true. (Pritchard 2007, 283)4

We may presume that one’s belief that the ticket at issue won’t win the lottery, when based on the massive odds against winning, will not be true in nearly all (or at the very least not in all) nearby possible worlds. For there are a number of nearby pos-
sible worlds at which the ticket wins the lottery. Accordingly, the safety principle, construed along the lines of \((SP^*)\), in conjunction with the claim that knowledge entails safe belief will serve to explain one’s ignorance of the proposition that the ticket in question will lose. The defect of the safety principle construed along the lines of \((SP)\) is remedied.

It is noteworthy that this way of construing the safety principle is not unprecedented in the literature. For instance, Ernest Sosa can be reconstructed as construing safety along similar lines:

\[
\text{[A] belief by S is “safe” iff: \ldots not easily would S believe that p without it being the case that p. (Sosa 1999, 142)}
\]

Given a standard possible worlds semantics of the relevant modal notions, Sosa’s claim is tantamount to the claim that S’s belief is safe iff S avoids false beliefs at nearby possible worlds.

Another defender of safety who goes down this path (or at least something very similar to it) is Timothy Williamson. Williamson characterises the safety condition in the following way:

Reliability and unreliability, stability and instability, safety and danger, robustness and fragility are modal states. They concern what could easily have happened. (Williamson 2000, 123)

For present purposes [i.e., for the purposes of spelling out the notion of reliability that, according to Williamson, is necessary for knowledge], we are interested in a notion of reliability on which, in given circumstances, something happens reliably if and only if it is not in danger of not happening. \ldots In particular, one avoids false belief reliably in [a case] \(\alpha\) if and only if one avoids false belief in every case similar to \(\alpha\). (Williamson 2000, 124)

Williamson claims that states such as safety and reliability concern what could easily have happened. At the same time, he also maintains that in order to believe safely (or “reliably” in Williamson’s terms), one must avoid false belief in similar cases. Given that this is so, it might now seem that there are two characterisations of safety in Williamson. However, given a standard possible worlds semantics of the relevant modal notions (of easy possibility) and given a standard understanding of distance between possible worlds, Williamson can be interpreted as giving a single characterisation of safety (“reliability”—one that is very much in line with the ones offered by Sosa and Pritchard. To see how this works, notice, first, that, according to a standard possible worlds semantics of the notion of easy possibility, something could easily have happened just in case it happens at a nearby possible world. Moreover, according to the standard understanding of distance between possible worlds, distance between possible worlds is a function of similarity between worlds. The more similar a possible world is to another possible world, the closer (more nearby) it is. If we take the range of nearby possible worlds to be worlds at which those cases that are similar to the actual world obtain, we can reconstruct
Williamson’s remarks here as effectively claiming that one’s belief is safe (“reliable”) if and only if one avoids false belief at nearby possible worlds.

Before I move on, I would like to highlight one important aspect of the safety principle, viz., that there is reason to believe that it must at the very least feature an index to ways of belief-formation. Pritchard is explicit about this: He requires the nearby possible worlds at which S has to continue to believe truly in order to believe safely to be worlds at which S continues to form her belief in the same way as in the actual world. However, a similar move can also be found in Sosa (2002, 275–276) who in a later paper proposes to index the safety principle to what he calls indications of truth and in Williamson (2000, 123) who maintains that the initial conditions need to be held fixed or almost fixed. We must suppose, I take it, that the way of belief-formation is part of the initial conditions that need to be held fixed. Indexing to ways of belief-formation is necessary in order to secure that safety passes the correct verdict in cases in which, in the actual world, one forms a true belief that \( p \) in one way, while, at some nearby possible world at which \( p \) is false, one forms one’s belief in a different way and so ends up believing \( p \) falsely. The most prominent case of this sort is Robert Nozick’s (1981, 179) grandmother case: Suppose granny is visited by her grandson and comes to believe by looking at him that he is well. Granny is good at telling these things by looking. Intuitively, she knows that her grandson is well. At some nearby possible worlds, however, her grandson is ill. In order to save granny from distress, at (some of) these worlds her family tells her that her grandson is well but had something important to do and for that reason couldn’t come and visit. Granny forms a false belief at these possible worlds. So, in the absence of the index to ways of belief-formation, granny’s true belief, acquired in the actual world by looking, that her grandson is well will be unsafe. A theory that makes safety, so construed, necessary for knowledge will predict, counterintuitively, that granny does not know that her grandson is well when she looks at him and on that basis forms a true belief to that effect. Indexing to ways of belief-formation will remedy this defect. After all, at those nearby possible worlds at which granny forms a false belief she comes by her belief in a different way than in the actual world. She relies on testimony rather than on looking. Accordingly, it is important to be aware that the safety principle will need to witness at least an index to ways of belief-formation.

**III. COMESAÑA’S ARGUMENT AGAINST SAFETY**

Juan Comesaña has recently argued that a safety condition of the kind defended by Pritchard, Sosa and Williamson is not a necessary condition for knowledge. He adduces the following example to bring the point home:

There is a Halloween party at Andy’s house, and I am invited. Andy’s house is very difficult to find, so he hires Judy to stand at a crossroads and direct people towards the house (Judy’s job is to tell people that the party is at the house down the left road). Unbeknownst to me, Andy doesn’t want Michael to go to the party, so he also tells Judy that if she sees Michael
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should tell him the same thing she tells everybody else (that the party is at the house down the left road), but she should immediately phone Andy so that the party can be moved to Adam’s house, which is down the right road. I seriously consider disguising myself as Michael, but at the last moment I don’t. When I get to the crossroads, I ask Judy where the party is, and she tells me that it is down the left road. (Comesaña 2005, 398)

Comesaña points out that, intuitively, he knows that the party is down the left road. At the same time, his belief is not safe. Since he almost decided to disguise himself as Michael, at some nearby possible worlds, he does disguise himself as Michael in which case the party will be moved just after Judy tells him that it is down the left road. At such worlds, Comesaña ends up with a false belief. Since at those worlds, Comesaña forms his belief in the same way as in the actual world—viz., by testimony from Judy—his belief is unsafe. Hence, knowledge does not require safety. Or so argues Comesaña.

However, Comesaña’s argument strikes me as unconvincing. To see why this is so, recall, first, that distance of possible worlds is a function of similarity between possible worlds—the more similar a possible world is to another one, the closer it is. Now, let’s ask how similar a world at which Comesaña acquires a false belief that the party is down the left road is to the actual world at which he comes to know the very same proposition. It would seem that there are some significant differences between those worlds: At the very least, Comesaña must have decided to disguise himself as Michael, he must have successfully done so, Judy must have formed a false belief that she is talking to Michael, she must have made a phone call, the party must have been moved. Given that this is so, however, the defender of safety may now venture to argue that the worlds at which Comesaña acquires a false belief are not similar enough to the actual world to still be counted as nearby. (The point here is, of course, that a situation can almost obtain, while, at the same time, the worlds at which it obtains are quite dissimilar from and hence not close to the actual world.) If the worlds at which Comesaña acquires a false belief are not nearby, however, then his belief that the party is down the left road will still be safe. The defender of safety would then be off the hook.

Now Comesaña may retort that even if there are a significant number of things that have to be different if, in the example, he is to end up with a false belief, the worlds at which he ends up with a false belief are still similar enough to the actual world to be counted as nearby. Even so, however, the concession that there are a significant number of things that have to be different may be all the defender of safety needs to rescue the safety condition. To bring out exactly why this is so, it will be helpful to contrast Comesaña’s case with the sort of case in which a defender of safety would want the safety condition to explain the subject’s ignorance. The most significant class of cases comprises, of course, Gettier cases. (If one wants to motivate safety by its ability to capture the sense in which knowledge excludes luck, then another significant class of cases will comprise lottery cases.) Consider, for instance, the case of Henry who drives through the country, looks at the only
real barn in a field otherwise full of barn façades and comes to believe, by looking, that he is facing a barn. Intuitively, Henry’s belief does not qualify as knowledge. At the same time, there is excellent reason to believe that his belief turns out to be unsafe. After all, there will be a wide range of nearby possible worlds at which Henry looks at a barn façade and acquires a false belief. Thus, the safety condition will be able to explain our intuition that Henry lacks knowledge.

But now notice just how similar a situation in which Henry acquires a false belief is to the situation that actually obtains: All that has to happen is that Henry looks out of the window a few moments earlier or later. Importantly, it is plausible that a situation in which Henry looks out of the window a few moments earlier or later is much more similar to the situation that actually obtains than the situation in which Comesaña acquires false belief concerning the whereabouts of the party is to the situation in which he acquires a true belief. (Recall all the things that have to be different for Comesaña to end up with a false belief.) What these considerations show is, of course, that there is a relevant difference between the cases in which the defender of safety wants the safety condition to predict ignorance and Comesaña’s case. So, even if the defender of safety has to concede that worlds at which Comesaña ends up with a false belief are similar enough to count as nearby, he may now place further restrictions on the safety principle that will allow him to continue to use safety to predict ignorance in, for instance, Gettier cases, whilst, at the same time, also allowing him to analyse Comesaña’s belief as safe.6

One promising way of so restricting the safety principle has recently been proposed by Pritchard (although in a slightly different context). This version of the safety principle weights nearby possible worlds depending on how close they are to the actual world. The crucial idea is that continuing to believe the truth at very close nearby possible worlds is more important than it is at nearby possible worlds that are not so close. Here, then, is Pritchard’s alternative version of the safety principle:

\[
(SP^{**}) \quad \text{S’s belief is safe iff in most near-by possible worlds in which S continues to form her belief about the target proposition in the same way as in the actual world, and in all very close near-by possible worlds in which S continues to form her belief about the target proposition in the same way as in the actual world, the belief continues to be true. (Pritchard 2007, 292)}
\]

There is reason to believe that Comesaña’s problematic belief satisfies \((SP^{**}). After all, since there is a significant number of things that need to be changed for Comesaña to end up with a false belief, it is also plausible, first, that there is no very close nearby possible world at which all of these things change and, second, that at most nearby possible worlds some such fact remains unchanged. If so, however, Comesaña’s belief satisfies \((SP^{**}). (At the same time, a defender of \((SP^{**}) can make a concession to Comesaña and allow that there are some nearby possible worlds at which all the things that need to be changed for him to end up with a false belief do change.) The defender of safety is, once again, off the hook.7
IV. A NEW ARGUMENT AGAINST SAFETY

In this section I will present a new argument to the effect that safety is not a necessary condition for knowledge. Like Comesaña, I will present a case in which the subject intuitively knows the proposition believed, while, at the same time, her belief is unsafe. Unlike Comesaña’s argument, the subject’s belief is unsafe no matter whether the safety condition is construed along the lines of (SP), (SP*), or (SP**). The case is a variation of what, presumably, was the first Gettier case (due, somewhat surprisingly, to Bertrand Russell). Russell (1948, 170–171) imagined a situation in which he wakes up in the morning, comes down the stairs, has a look at the grandfather clock, sees that it reads 8:22 and on that basis forms a belief that it’s 8:22. Russell’s belief is well justified: He knows the clock to be highly reliable, has no reason to believe that it is not working properly etc. Moreover, his belief is true. It is in fact 8:22. However, here comes the catch, the clock has stopped working exactly twelve hours earlier. Notice that, in the present version of the case, Russell’s belief that it’s 8:22 is not safe. After all, it is plausible that there is a wide range of close and very close nearby possible worlds at which Russell comes down a minute earlier or later. (All that has to happen for him to come down a minute later, for instance, is that he stays in bed for a minute longer—and notice just how easily that can happen.) If at such a world he acquires his belief by reading the stopped clock, he will form a false belief. At the same time, in the present version of the case, intuitively, Russell does not know that it is 8:22 when he forms a true belief by reading the grandfather clock. Thus, in the present version of the case, the safety condition manages to accommodate our intuitions rather neatly.

But now consider the following variation of the case. Suppose Russell’s arch-nemesis has an interest that Russell forms a belief (no matter whether true or not) that it’s 8:22 by looking at the grandfather clock when he comes down the stairs. Russell’s arch-nemesis is prepared to do whatever it may take in order to ensure that Russell acquires a belief that it’s 8:22 by looking at the grandfather clock when he comes down the stairs. (Since we are concerned with a conceptual claim here, Russell’s arch-nemesis may have means available to do so that we can imagine only in our wildest dreams. For instance, he may be an evil-demon who can set the clock to 8:22 with his invisible hand a second before Russell looks at it.) However, Russell’s arch-nemesis is also lazy. He will act only if Russell does not come down the stairs at 8:22 of his own accord. Suppose, as it so happens, Russell does not come down the stairs at 8:22. Russell’s arch-nemesis remains inactive. Russell forms a belief that it’s 8:22. It is 8:22. The grandfather clock is working reliably as always. Intuitively, I take it, Russell knows that it’s 8:22 upon reading the clock. After all, he looks at a perfectly working clock, he has the ability to read the clock, exercises his ability and hits upon the truth through the exercise of this ability. However, Russell’s belief that it’s 8:22 is not safe—no matter whether safety is construed along the lines of (SP), (SP*) or (SP**). At all nearby possible worlds at which he comes down a minute earlier or later, his arch-nemesis steps on the scene and sets the clock to 8:22 anyway. At those worlds, Russell forms a false belief that it’s 8:22.
At the same time, he forms his belief in the same way as in the actual world—by reading the clock. Since, we may assume, Russell may just as easily have come down a minute earlier or later, it is not the case that at most—never mind nearly all or all—nearby possible worlds at which he forms a belief in that way he continues to believe truly. So, Russell’s belief is unsafe if safety is construed along the lines of (SP) or (SP*). Furthermore, since some of the possible worlds at which Russell comes down a minute earlier or later are among the very close nearby possible worlds (again, notice just how easily Russell may have stayed in bed a minute longer), it is not the case that at all very close nearby possible worlds at which he forms his belief in the same way he avoids forming a false belief. So, Russell’s belief is unsafe if safety is construed along the lines of (SP**) too.8

V. CONCLUDING REMARKS: THE PROSPECTS FOR SAFETY

If the arguments I have presented are sound, then safety—at least in the versions found in the literature—will not serve as a necessary condition for knowledge. The question remains, however, whether there are other versions of the safety principle that will be more successful than the ones discussed. One may wonder, for instance, whether the safety principle could be restricted in such a way that Russell’s problematic belief turns out to be safe. Now, I do not doubt that there are some ways of so restricting the safety principle. There is reason to believe, however, that any such safety principle will fail to do justice to the considerations that motivated the safety principle in the first place—that is, the idea that safety captures the sense in which knowledge excludes luck or that it gives a better account of inductive and anti-skeptical knowledge than other modal conditions on knowledge.

To see exactly why this is so, notice, first, that the structure of the present case is very similar indeed to the structure of a core Gettier case—viz., the case of Henry in barn façade county. In my case, there are plenty of possible situations in which Russell ends up looking at a stopped clock and forms a false belief. Similarly, in Henry’s case, there are plenty of possible situations in which Henry ends up looking at a barn façade and also forms a false belief. Moreover, in each case, these possible situations might, it would seem, equally easily obtain. All that has to happen in the variation of the grandfather clock case is that Russell stays in bed a minute longer, for instance, while all that has to happen in Henry’s case is that Henry looks out of the window a minute later. Unlike in Comesaña’s case, in my case there is no significant number of things that have to change for him to end up with a false belief and, accordingly, no relevant difference between my case and Henry’s that a defender of safety may venture to exploit by placing suitable restrictions on the safety principle. On the contrary, given the similarities in structure between my case and the case of Henry, it would seem that, on any version of the safety principle, the subject’s belief will turn out safe in the one case just in case it will turn out safe in the other. In consequence any set of restrictions on the safety principle that will render Russell’s belief safe will also render Henry’s belief safe.9
The second part of my argument aims to show that on any version of the safety principle that does justice to the considerations that have been adduced to motivate the safety condition on knowledge Henry’s belief must turn out to be unsafe. Since we have just seen that there is reason to believe that any version of the safety principle that renders Henry’s belief unsafe will also have to render Russell’s belief unsafe, if my argument is successful, there is no version of the safety principle that does justice to the considerations motivating it, whilst also analyzing Russell’s belief as safe.

Let us first turn to the first motivation for safety—that the safety condition captures the sense in which knowledge excludes luck. An intuitively plausible explanation of why subjects in Gettier cases lack knowledge is that their beliefs are just too lucky to qualify as knowledge. Accordingly, it will not be surprising that an intuitively plausible explanation of why Henry does not know that he is looking at a barn is that his belief is just too lucky to qualify as knowledge. That means, however, that any version of the safety condition that does justice to the present motivation will have to analyze the beliefs of subjects in Gettier cases (among them Henry’s belief) as unsafe. So, the first way of motivating the safety principle will not mesh with a version of the safety principle that analyzes Russell’s belief as safe.

Recall that, according to the second motivation for safety, the safety condition on knowledge does better in explaining inductive and anti-skeptical knowledge than any other modal condition on knowledge. Now it is obvious that this way of motivating the safety condition will be successful only if the idea that there must be some modal condition on knowledge is itself suitably motivated. However, modal conditions on knowledge are again typically motivated by their ability to explain our intuitions in Gettier cases. For instance, both Fred Drestke (2000) and Robert Nozick (1981), who were, presumably, the first to introduce modal conditions on knowledge, are very clear about this. They both use Gettier-style cases in order to establish that their preferred modal condition has an edge over so-called causal conditions on knowledge. But if modal conditions on knowledge are motivated by their ability to explain our intuition of ignorance in Gettier cases, then in order to be able to motivate the safety condition in the way envisaged, we must spell out the safety condition in such a way that Gettiered subjects’ beliefs turn out to be unsafe. Since the case of Henry in barn façade county is a Gettier case—and quite a paradigmatic one at that—that means that we must spell out the safety condition in such a way that Henry’s belief turns out to be unsafe. So the second way of motivating the safety condition does not mesh with a version of the safety principle that analyzes Russell’s belief as safe.

Given that this is so, defenders of the safety condition on knowledge owe us not only a statement of the safety principle on which Russell’s belief comes out safe but also a reason to believe that the intended version of the safety condition is required for knowledge in the first place. Since I cannot see how any such reason could be provided without adverting to the safety condition’s ability to explain our intuitions in Gettier cases, I suspect that defenders of the safety condition will be unable to meet this challenge.
ENDNOTES

1. A fully contingent proposition is a proposition that is not necessary in any sense of the word. For instance, a fully contingent proposition is neither logically, nor metaphysically, nor nomologically necessary.

2. The details of Pritchard’s argument need not concern us here. In rough outline the argument takes the following shape: Pritchard first defends a modal conception of luck, second, specifies the precise sense in which knowledge excludes luck and third shows if these two ideas are put together a safety condition on knowledge can be derived. For more details see Pritchard 2005, 147–152.

3. This argument can be found in Greco 2003 and 2007.

4. I will discuss Pritchard’s second strengthened version of the safety principle in due course.

5. It is noteworthy that Sosa also provides a slightly different statement of the safety principle according to which S’s belief that \( p \) is safe iff were S to believe that \( p \), \( p \) would be true. I take it that safety is better construed by appeal to the notion of easy possibility than in terms of a counterfactual conditional (for one argument to that effect see Pritchard 2005, 72–73). For the purposes of this paper, however, nothing hinges on whether safety is construed in terms of a counterfactual conditional or by appeal to the notion of easy possibility.

6. Arguably, the defender of safety will also be able to explain our intuition of ignorance in lottery cases. After all, situations in which the losing ticket wins are very similar to situations in which it loses: all that has to happen is that a few balls fall into slightly different places. Again, unlike in Comesaña’s case, there need not be a significant number of things that have to be different for one to end up with a false belief.

7. I do not want to claim that (SP**) is the only way in which the safety principle may be restricted in order to avoid the problem posed by Comesaña’s case. What matters for present purposes is that on some way of restricting the safety principle Comesaña’s belief comes out safe. As regards my own counterexample to safety, in section IV I will provide an independent argument that there is reason to believe that no way of restricting the safety principle will do the job whilst also preserving the motivations for accepting a safety condition in the first place.

8. It should be said that I owe inspiration for this example to Harry Frankfurt (1969) who uses examples with a similar structure to argue against the view that moral responsibility requires the ability to do otherwise.

9. It is noteworthy that the argument can be run with other Gettier cases as well. Suppose, for instance, one drives through the countryside, sees what looks like a sheep to one and on that basis forms a belief that there is a sheep in the field. Suppose, furthermore, that the object one is looking at is a non-sheep that merely looks like a sheep. At the same time, one’s belief turns out to be true because there is a sheep grazing quietly at the edge of the field. In such a case all that has to happen for one to end up with a false belief is that the sheep is situated a couple of meters to the left (so that it is no longer in the field). And notice just how easily that may happen. Again, the situations in which one ends up with a false belief are very similar to the one that actually obtains. Unlike in Comesaña’s case, no significant number of things needs to change.
10. Jonathan Dancy (1985, 134), for instance, is very clear about the fact that the problem in Gettier cases is that the subject’s belief is too lucky to be true.

11. Thanks to Duncan Pritchard for helpful comments on an earlier version of this paper.

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